

# **AOSC624**

## ***Class 22: May 1, 2012***

At Issue:

How can satellites help to estimate the  
Surface Energy Budget (SEB)

One Component of SEB:

Surface Radiation Budget (*Review*)

Other components:

Surface Turbulent Fluxes of heat and moisture  
Heat into ground

How do we evaluate the turbulent fluxes?

Overview of Eddy Covariance Principles

## Radiation Balance at the Earth Surface

The net flux of radiation at the earth's surface results from a balance between the solar and terrestrial radiation fluxes:

$$F_{rad}^{sfc} = F_{SW} + F_{LW}$$

The short-wave and long-wave radiation balance can be expressed:

$$F_{SW} = F_{SW\downarrow} - F_{SW\uparrow}$$

$$F_{LW} = F_{LW\downarrow} - F_{LW\uparrow}$$

The net radiation balance being:

$$F_{rad}^{sfc} = F_{SW\downarrow} - F_{SW\uparrow} + F_{LW\downarrow} - F_{LW\uparrow}$$

- The incident solar radiation  $F_{SW}\downarrow$  is the sum of the **direct** and **diffuse** solar radiation. It has a **pronounced diurnal** and **seasonal** variation, and is also strongly affected by **clouds**. The outgoing short-wave solar radiation is the part **reflected** by the surface  $F_{SW}\uparrow = A_{sfc} F_{SW}\downarrow$ , where  $A_{sfc}$  is the surface albedo so that the net short-wave radiation is:

$$F_{SW} = (1 - A_{sfc}) F_{SW}\downarrow$$

- The outgoing long-wave radiation  $F_{LW}\uparrow$  is given by the Stefan-Boltzmann law, assuming a **given emissivity  $\epsilon$**  for the earth's surface.
- The net radiation flux at the surface is then given by :

$$F_{rad}^{sfc} = F_{SW}\downarrow (1 - A_{sfc}) - \sigma \epsilon T_{sfc}^4 + F_{LW}\downarrow$$

## Energy Balance at the Earth Surface

The **main** part of the energy **absorbed** at the surface is used to **evaporate water**, another part is lost to the atmosphere as **sensible heat**, and a smaller part is lost to the underlying layers or used to **melt snow and ice**. Thus, there are essentially four types of energy fluxes at the earth's surface. They are the net radiation flux  $F_{rad}$ , the (direct) sensible heat flux  $F_{SH} \uparrow$ , the (indirect) latent heat flux  $F_{LH} \uparrow$ , and the heat flux into the subsurface layers  $F_G \downarrow$ . Under steady conditions the balance equation for the energy is given by

$$F_{rad}^{sfc} - F_{SH} \uparrow - F_{LH} \uparrow - F_G \downarrow - F_M = 0$$

These surface fluxes are associated with land processes and depend on:

- ❖ vertical stability; roughness
- ❖ surface temperature
- ❖ subsurface heat conduction
- ❖ vegetation
- ❖ surface hydrological balance
- ❖ potential evapotranspiration
- ❖ radiative flux

- Theoretical aspects of satellite remote sensing of energy balance
- Thermal equilibrium at the surface is maintained by a combination of thermodynamic and physiological processes.
- The net energy absorbed by the surface through radiative processes, net radiation  $R_n$ , must be balanced by that transported to the atmosphere and ground (sensible, latent, and ground heat flux):
- $R_n = H + LE + G.$  (1)
- $R_n$  is simply the difference between the incident and reflected shortwave radiation and the incident and emitted long wave radiation at the surface, that is:
- $R_n = S\{1 - \alpha\} + L_{wd} - L_{wu},$  (2)
- where  $S$  is downwelling shortwave radiation energy flux ( $Wm^{-2}$ ).

## Surface energy balance formulae

For the sensible and latent heat components in eq. 1, closed-form solutions cannot be obtained. Commonly used semi-empirical relations are:

For Sensible heat:

$$H = \rho C_p (T_{\text{aero}} - T_a) / r_a \quad (3)$$

- where  $H$  = sensible heat flux,  $\text{W m}^{-2}$ ;  $\rho$  density of air,  $\text{kg m}^{-3}$ ;
- $C_p$  specific heat of air,  $\text{J kg}^{-1} \text{ } ^\circ\text{C}^{-1}$ ;
- $T_a$  reference height air temperature,  $^\circ\text{C}$ ;
- $T_{\text{aero}}$  canopy temperature,  $^\circ\text{C}$ ;
- $r_a$  aerodynamic resistance for heat and water vapor,  $\text{s m}^{-1}$ .

- In this formulation the aerodynamic resistance,  $r_a$ , relates the vertical gradient in temperature,  $T_{\text{aero}} - T_a$ , to the sensible heat flux.
- The  $r_a$  value is semi-empirical and is intended to characterize the efficiency of the very complex transfer of heat by turbulent air movement through the canopy into the air above;  $r_a$  is normally derived using empirical arguments to adjust the surface momentum transfer coefficient, a term that relates the vertical gradient in boundary layer wind speed to surface shear stress.
- A number of such empirical formulations are available for  $r_a$  ( see *Hall et al., 1991*), such as:

$$r_a = \frac{\ln(z - 0.56h) \ln[(z - 0.56h) / (0.0189h)]}{0.16U \{1 + [5g(z - 0.56h)(T_{aero} - T_a) / T_a U^2]\}^{3/4}},$$

where  $U$  is windspeed,  $\text{m s}^{-1}$ ;  $z$  is reference height for measurement of  $T_a$  and  $U$ ;  $h$  is height of canopy (m); and  $g$  is gravitational acceleration,  $\text{m s}^{-2}$ .

For more details and additional formulation for Aerodynamic Resistance see the provided paper:

**Measurement and estimation of the aerodynamic resistance**

**S. Liu, D. Mao, and L. Lu**

- For latent heat:

$$LE = \rho C_p [e^*(T_{aero}) - e_a] (g_c g_a) / \gamma (g_c + g_a), \quad (5)$$

- 
- where  $e_a$  vapor pressure at reference height, mbar;
- $T_a$  air temperature at reference height, °k;
- $e^*(T_{aero})$  saturated vapor pressure in the canopy air space, mbar;
- $\gamma$  psychrometric constant, mbar °k<sup>-1</sup>;
- $\rho C_p$  as defined in (2);
- $g_c$  bulk stomatal conductance of canopy, m s<sup>-1</sup>;
- $g_a$  bulk aerodynamic conductance of canopy (1/  $r_a$ ), m s<sup>-1</sup>.
- 
- These first-order solutions to the turbulent transport equations served as the primary model for investigating relationship between the properties of land surface vegetation, energy-mass balance, and remote sensing of these interactions in **FIFE**.

## Sensible heat

- For H in (3), remote sensing measures of surface radiometric temperature have been evaluated as a surrogate for  $T_{\text{aero}}$ .
- 
- However,  $T_{\text{aero}}$  is not explicitly  $T_{\text{rad}}$  but, as discussed above, a theoretical construct that parameterizes a “convective” temperature. *Vining and Blad (1991)* investigated the relationship between  $T_{\text{aero}}$  and  $T_{\text{rad}}$ .

## Latent heat

For latent heat estimation (eq. 5) the main focus of remote sensing techniques is the conductance term  $g_c$  and the  $T_{aero}$  term in estimating canopy air-space vapor pressure. The canopy conductance term  $g_c$  can be modeled after *Jarvis (1976)* as a product of functions with range (0, 1) where each function characterizes the dependence of  $g_c$  on APAR (absorbed PAR),  $\delta e$ ,  $T$ , and leaf water potential  $\psi$ .

- That is:
- $$g_c = g_c^*(APAR)g(\delta e)g(T_{aero})g(\psi) \quad (6)$$
- where  $g_c^*(APAR)$  is “unstressed” canopy conductance and  $g(\delta e)$ ,  $g(T_{aero})$ ,  $g(\psi)$  are functions with ranges (0, 1) describing the fraction of stomatal closure by vapor pressure deficit, leaf temperature, and leaf water potential.
- The most accurate method to estimate turbulent fluxes is the “**Eddy Correlation Method**” to be explained in what follows:

# What is Flux

Flux – how much of something moves through a unit area per unit time

Flux is dependent on: (1) number of things crossing the area; (2) size of the area being crossed, and (3) the time it takes to cross this area

# Flux Measurements

- Flux measurements are widely used to estimate heat, water, and CO<sub>2</sub> exchange, as well as methane and other trace gases
- Eddy Covariance is one of the most direct and defensible ways to measure such fluxes
- The method is mathematically complex, and requires a lot of care setting up and processing data .

“A Brief Practical Guide to Eddy Covariance Flux Measurements: Principles and Workflow Examples for Scientific and Industrial Applications”

G. Burba and D. Anderson of LI-COR Biosciences

To help a non-expert gain a basic understanding of the Eddy Covariance method and to point out valuable references

To provide explanations in a simplified manner first, and then elaborate with specific details

# Introduction

The **Eddy Covariance** method is one of the most accurate, direct and defensible approaches available to date for measurements of **gas fluxes** and monitoring of gas emissions from areas with sizes ranging from a few hundred to millions of square meters

- The method relies on **direct and very fast** measurements of **actual gas** transport by a 3-D wind speed in real time *in situ*, resulting in calculations of turbulent fluxes within the atmospheric boundary layer

- Modern instruments and software make this method easily available and potentially widely-used in studies beyond micrometeorology, such as in ecology, hydrology, environmental and industrial monitoring, *etc.*
- Main challenge of the method for a non-expert is the sheer complexity of system design, implementation and processing the large volume of data

- The Eddy Covariance method provides measurements of **gas emission** and also allows measurements of **fluxes of sensible heat, latent heat and momentum**, integrated over an area.
- This method was widely used in micrometeorology for over 30 years, but now, with firmer methodology and more advanced instrumentation, it can be available to any discipline, including science, industry, environmental monitoring and inventory.

Several networks have been established over the globe to measure turbulent fluxes

### Existing Flux Networks:

Fluxnet, Fluxnet-Canada, AsiaFlux, CarboEurope and AmeriFlux networks

They collect Eddy Covariance information.

[http://gcmd.nasa.gov/records/GCMD\\_AMERIFLUX\\_SHIDLER-CDIAC.html](http://gcmd.nasa.gov/records/GCMD_AMERIFLUX_SHIDLER-CDIAC.html)

<http://terraweb.forestry.oregonstate.edu/chair2.htm>

# STATE OF METHODOLOGY

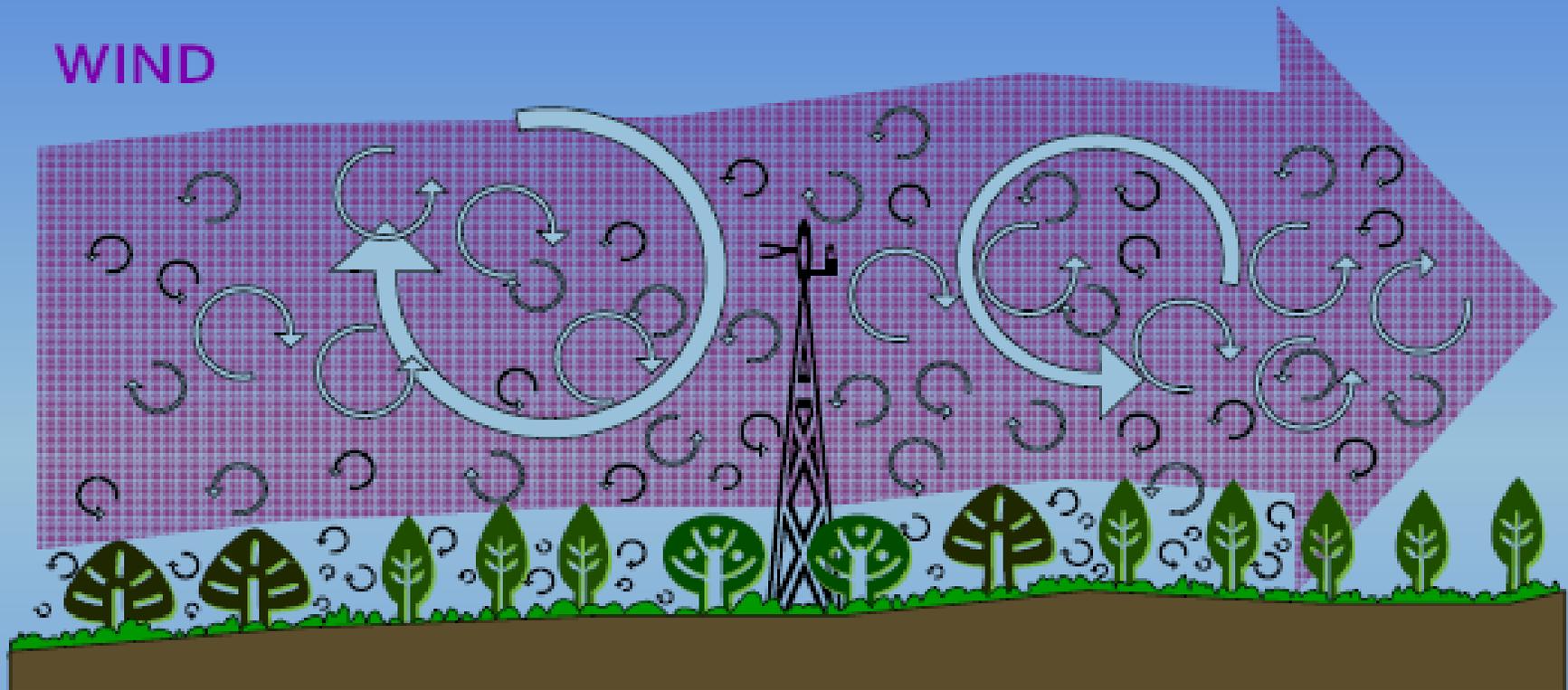


- There is currently no uniform terminology or a single methodology for EC method
- A lot of effort is being placed by networks (e.g., Fluxnet) to unify various approaches
- Here we present one of the conventional ways of implementing the Eddy Covariance method



## AIR FLOW IN ECOSYSTEM

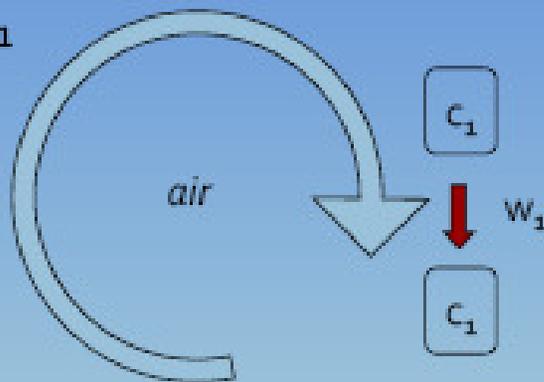
WIND



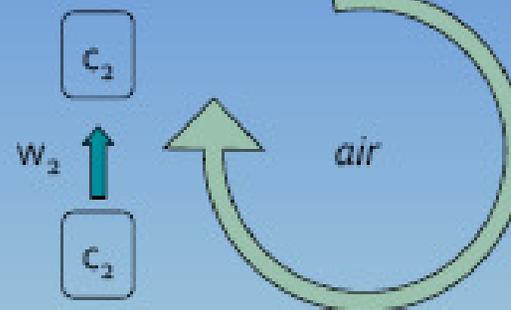
- Air flow can be imagined as a horizontal flow of numerous rotating eddies
- Each eddy has 3-D components, including a vertical wind component
- The diagram looks chaotic but components can be measured from tower

## EDDIES AT A SINGLE POINT

time 1  
eddy 1



time 2  
eddy 2



At a single point on the tower:

Eddy 1 moves parcel of air  $c_1$  down with the speed  $w_1$   
then Eddy 2 moves parcel  $c_2$  up with the speed  $w_2$

Each parcel has concentration, temperature, humidity;  
if we know these and the speed – we know the flux

# HOW TO MEASURE FLUX

## The general principle:

If we know how many molecules went up with eddies at time 1, and how many molecules went down with eddies at time 2 at the same point – we can calculate vertical flux at that point and over that time period

## Essence of method:

Vertical flux can be represented as a covariance of the vertical velocity and concentration of the entity of interest

## Instrument challenge:

Turbulent fluctuations occur very rapidly, so measurements of up-and-down movements and of the number of molecules should be done with very fast

# BASIC DERIVATIONS

In turbulent flow, vertical flux can be presented as:  
 ( $s = \rho_c / \rho_a$  is the mixing ratio of substance 'c' in air)

$$F = \overline{\rho_a w s}$$

Reynolds decomposition is used then to break into means and deviations:

$$F = \overline{(\rho_a + \rho'_a)(w + w')(s + s')}$$

Opening the parentheses:

$$F = \overline{(\rho_a w s + \rho_a w s' + \rho_a w' s + \rho_a w' s' + \rho'_a w s + \rho'_a w s' + \rho'_a w' s + \rho'_a w' s')}$$

*Averaged deviation from the average is zero*

Equation is simplified: 
$$F = \overline{\rho_a w s} + \overline{\rho_a w' s'} + \overline{w \rho'_a s'} + \overline{s \rho'_a w'} + \overline{\rho'_a w' s'}$$

## DERIVATIONS (cont.)

Now an important assumption is made (for conventional Eddy Covariance) – i.e. air density fluctuations are assumed negligible:

$$F = (\overline{\rho_a w s} + \overline{\rho_a w' s'} + \overline{w \rho_a' s'} + \overline{s \rho_a' w'} + \overline{\rho_a' w' s'}) = \overline{\rho_a w s} + \overline{\rho_a w' s'}$$

Then another important assumption is made – mean vertical flow is assumed negligible for horizontal homogeneous terrain (no divergence/convergence):

$$F \approx \overline{\rho_a w' s'}$$

'Eddy flux'

## PRACTICAL FORMULAS

General equation:

$$F \approx \overline{\rho_a w' s'}$$

Sensible heat flux:

$$H = \rho_a C_p \overline{w' T'}$$

Latent heat flux:

$$LE = \lambda \frac{M_w / M_a}{P} \rho_a \overline{w' e'}$$

Carbon dioxide flux:

$$F_c = \overline{w' \rho_c'}$$

*NOTE:* Instruments usually do not measure mixing ratio  $s$ , so there is yet another assumption in the practical formulas (such as:  $\overline{\rho_a w' s'} = \overline{w' \rho_c'}$ )

## MAJOR ASSUMPTIONS

- Measurements at a point can represent an upwind area
- Measurements are done inside the boundary layer of interest
- Fetch/footprint is adequate – fluxes are measured only at the area of interest
- Flux is fully turbulent – most of the net vertical transfer is done by eddies
- Terrain is horizontal and uniform: average of fluctuations is zero; air density fluctuations, flow convergence & divergence are negligible
- Instruments can detect very small changes at very high frequency



# MAJOR SOURCES OF ERRORS

Measurements are not perfect: due to assumptions, physical phenomena, instrument problems, and specificities of terrain and setup

There could be a number of flux errors introduced if not corrected:

## Frequency response errors due to:

- System time response
- Sensor separation
- Scalar path averaging
- Tube attenuation
- High pass filtering
- Low pass filtering
- Sensor response mismatch
- Digital sampling
- etc.

## Other key error sources:

- Sensors time delay
- Spikes and noise
- Unleveled instrumentation
- Density fluctuations (WPL)
- Sonic heat flux errors
- Band-broadening for NDIR
- Spectroscopic effect for LASERs
- Oxygen in the 'krypton' path
- Data filling

## ERROR TREATMENT

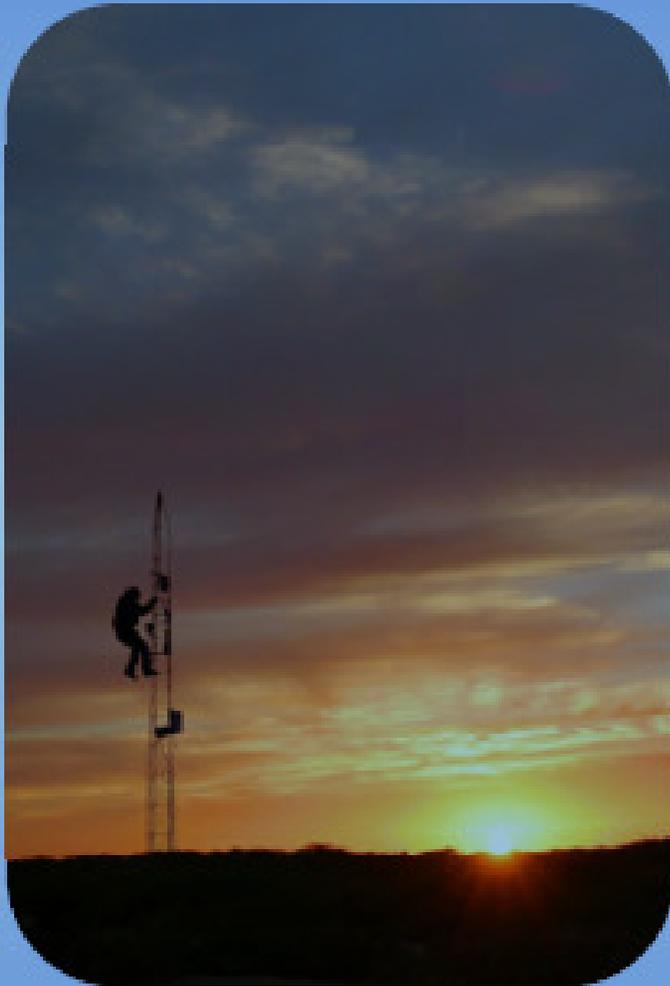
- These errors are not trivial - they may combine to over 100% of the flux
- To minimize or avoid such errors a number of procedures could be performed

Errors due to	Affected fluxes	Approximate Range
Frequency response	all	5-30%
Time delay	all	5-15%
Spikes, noise	all	0-15%
Unleveled instrument/flow	all	0-25%
Density fluctuation	H <sub>2</sub> O, CO <sub>2</sub> , CH <sub>4</sub>	0-50%
Sonic heat error	sensible heat	0-10%
Band Broadening for NDIR	mostly CO <sub>2</sub>	0-5%
Spectroscopic effect for LASER	any gas	0-30%
Oxygen in the path	some H <sub>2</sub> O	0-10%
Missing data filling	all	0-20%

## ERROR TREATMENT (cont.)

Errors	Remedy
Frequency response	frequency response corrections
Time delay	adjusting for delay
Spikes, noise	spike removal
Unleveled instrument/flow	coordinate rotation
Density fluctuation	Webb-Pearman-Leuning correction
Sonic heat error	sonic temperature correction
Band Broadening for NDIR	band-broadening correction
Spectroscopic effect for LASER	no uniform widely used correction
Oxygen in the path	oxygen correction
Missing data filling	Methodology/tests: Monte-Carlo etc.

# SUMMARY OF EDDY COVARIANCE THEORY



- Measures fluxes transported by eddies
- Requires turbulent flow
- Requires state-of-the-art instruments
- Calculated as covariance of  $w'$  and  $c'$
- Many assumptions to satisfy
- Complex calculations
- Most direct way to measure flux
- Continuous new developments

# EDDY COVARIANCE INSTRUMENTATION

Omni-directional  
Sonic Anemometer

Closed Path  
CO<sub>2</sub> / H<sub>2</sub>O Gas  
Analyzer Intake

Open Path CO<sub>2</sub> /  
H<sub>2</sub>O Gas Analyzer

Fine-wire  
Thermocouple



Inclinometer

# LI-7500A FLUX APPLICATIONS

## TERRESTRIAL



98% of applications

Designed for stationary use

Limited by precipitation, fog, & dew

## AIRBORNE



<1% of applications

May need customized reinforcement

May be affected by extreme temperatures and vibrations

## OCEANOGRAPHIC

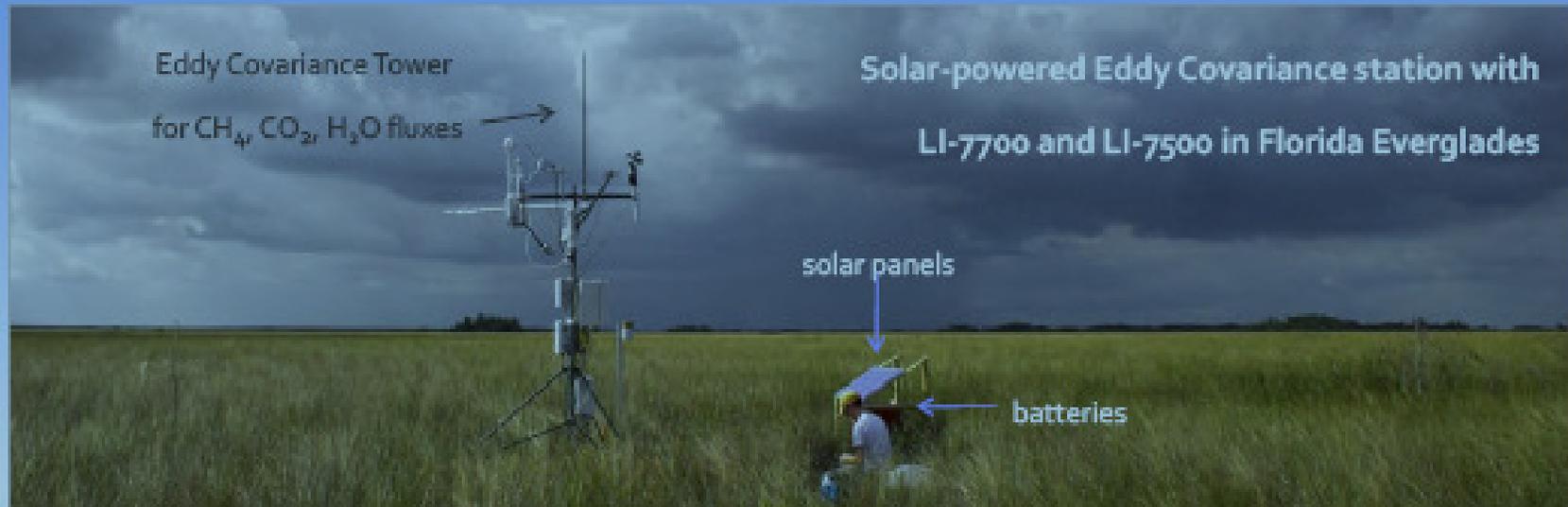


< 1% of applications

May need customized coating, LPS<sub>3</sub>

May be affected by precipitation, dew, & gyroscopic effects

# OPEN-PATH LI-7700 CALIBRATION



- The power consumption by the entire Eddy Covariance station in the Florida Everglades was <30 Watts, including LI-7700 for CH<sub>4</sub>, LI-7500 for CO<sub>2</sub>/H<sub>2</sub>O, sonic anemometer, and air temperature/relative humidity sensors and barometer
- The 12 lb. (5.5 kg) open-path methane analyzer was carried into the wetland by one person in the backpack, along with tools, other sensors, and a laptop
- In such remote places occasional calibration checks can be done using small hand-carried gas tanks with known CH<sub>4</sub> concentration and CH<sub>4</sub>-free air



- Remote sensing the surface energy budget
- The First International Satellite Land Surface Climatology Project (ISLSCP) Field Experiment (FIFE) was an international, land-surface-atmosphere experiment centered on a 15 x 15 km test site near Manhattan, Kansas.
- The objectives of FIFE: to better understand the role of biology in controlling the interactions between the atmosphere and the vegetated land surface and to investigate the use of satellite observations for inferring climatologically significant land surface parameters. Specifically:

- to verify the basic flux relationships for the homogeneous patches;
- to assess the ability to remotely sense parametric inputs to these relationships;
- to examine how these relationships and remote sensing algorithms scale from the patch level to heterogeneous collections of patches at the meso-scale level;
- to determine how well existing calibration, atmospheric correction, and radiometric rectification techniques permit to extend satellite observations between dates and sensors
- to determine to what degree existing and future satellite designs satisfy the requirements for periodic monitoring of surface energy balance components on a global scale.

- Below are a few examples of the sources of information on the various methods of flux measurements, and specifically on the Eddy Covariance method:
- Micrometeorology, 2009. By T. Foken. Springer-Verlag.
- Handbook of Micrometeorology: A Guide for Surface Flux Measurement and Analysis, 2008. By X. Lee; W. Massman; B. Law (Eds.). Springer-Verlag.
- Principles of Environmental Physics, 2007. By J. Monteith and M. Unsworth. Academic Press.
- Microclimate: The Biological Environment. 1983. By N. Rosenberg, B. Blad, S. Verma. Wiley Publishers.
- Baldocchi, D.D., B.B. Hicks and T.P. Meyers. 1988. 'Measuring biosphere-atmosphere exchanges of biologically related gases with micrometeorological methods', Ecology, 69, 1331-1340
- Verma, S.B., 1990. Micrometeorological methods for measuring surface fluxes of mass and energy. Remote Sensing Reviews, 5: 99-115.
- Wesely, M.L., D.H. Lenschow and O.T. 1989. Flux measurement techniques. In: Global Tropospheric Chemistry, Chemical Fluxes in the Global Atmosphere.
- NCAR Report. Eds. DH Lenschow and BB Hicks. pp 31-46